BDA CLIENT DATA UPLOAD SPECIFICATION

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1   INTRODUCTION

Members are currently able to upload data to BDA via FTP files, but this service is limited to certain transactional and reference data, namely financial transactions, deal allocations and credit limits. The JSE is in the process of automating the current upload process via FTP and increasing the current service offering. The automation and new service offering is aimed to improve operational efficiencies with-in a members back office.

A number of members have internal systems where client data is maintained. Once the data has been loaded into members own internal systems, the data must be captured into BDA. The same applies to client banking details. The intention is to automate the process of creating new client accounts and of updating client banking details in BDA.

2   OBJECTIVE

The objective of this document is to provide the members with the detail of the new Client Account Upload Card Codes.

3   SCOPE

The scope of this document is to provide the members with the details i.e. all the various data elements that will be included in the new Client Account Card Code. Out of scope of this document is the automation of the FTP process and any other data uploads that may be developed.

4   VERSION CONTROL

Version	Updated By	Date	Reason for changes
0.8	Barbara Teasdale	1 August 2014	Amendments to e-mail address loadings, field lengths on the Trailer and Card Codes 34 and 35, CSDP account on Card Code 32 and new account loaded return file.
0.9	Barbara Teasdale	3 December 2014	Client BIC and CSDP telephone Number on Card Code 032 changed to optional.
0.10	Barbara Teasdale	8 January 2015	Amalgamation of original spec and the Tax and Legislative Compliance Enhancements
0.11	Barbara Teasdale	16 August 2016	Change to the number of e-mail addresses permitted from 999 to 10.
0.12	Barbara Teasdale	15 December 2016	Addition of details on the Response file and the increase in

			the number of e-mails from 10 to 100
0.13	Barbara Teasdale	8 February 2016	Correction of the use of the Y/N values for the IT3(b) and IT3(c) Exclusion fields on Card Code 38, Inclusion of the Communication by Issuer field, the Undocumented Reason Code field and the General Compliance Reason Code field on Card Code 38

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6   ACCOUNT UPLOAD CARD CODES

As part of the enabler for T+3 and also in an effort to improve operational efficiencies with-in members back offices, the JSE will develop a new upload facility that will allow the members to automate the loading of new clients and also allow members to update existing client information.

This new upload facility will not cater for members to de-activate an existing client. Where members want to de-activate a client, they will have to do this directly on the BDA online system.

Each participating Broker will be allocated two files, one for EOD Batch processing and the other for Intra-day processing. The data must be placed in the applicable file in order to be processed.

The following basic processing rules apply when capturing an upload:

1) If UPL-TYP = "N' then Card-Code 31 or 39 is mandatory
2) If UPL-TYP = 'U' then any card code is acceptable
3) If an intraday upload file is received between 6am and 18h45pm then client details on CLMNT will be updated immediately
4) If a batch file is received, client details will be updated in the overnight batch run and members will be able to view these records on CLMNT the following day
5) Client details on CLMNT will only be updated once each record has passed all its validation rules for all the fields
6) **Online file limit = 1000 records per file i.e. users will only be able to submit a file containing 1000 new client accounts**

Failure to comply with the stated basic rules will result in the record not being updated and an error message being written to COMPR (online enquiry) and PCOMPR report and passed back on the line [that generated the error] in the response file i.e. the line that failed will have the error reason appended to it.

The tables and card codes contain the Field Names, Field Descriptions, data types, data lengths, validations and validation logic that will be used to upload data for either a controlled or non-controlled account. The Client's banking details may also be uploaded.

6.1 Automated submission of Upload

A Member is required to FTP the upload dataset to the JSE. On completion of the FTP upload, a batch job will automatically be submitted (via CONTROL-O) to process the uploaded records. There is no requirement for users to schedule the processing of the upload file via RPROC.

The dataset to be uploaded will have the following format:

BBAP.SPRD.UPLOAD.XXX.RCLMNT or BBAP.SPRD.UPLOAD.XXX.BCLMNT, the 'XXX' depicting the relevant 2 or 3 character broker alpha code. **R** in the dataset name depicts real-time upload and **B** depicts batch upload.

- The user performing the upload requires FTP access i.e. a FTP username and password. If the member already has a FTP sign on, the same FTP sign-on can be used.
- The user will need to request access to the new upload dataset to enable them to make use of the automated submission.
- The member may also request an additional FTP sign-ons if required.

6.1.1 E-mail Address Set up

Members will need to capture relevant email addresses to which the responses are to be mailed for the UPLOAD. This would be done via the function T.EML against the process type of BCLMNT.

A maximum of 100 e-mail addresses can be set up.

Each new e-mail address would require a new sequence number (i.e. SEQ of 001, the suffix of BCLMNT, displayed below).

```
OPTN: T.EML          BCS/A:  GENERAL TABLE MAINTENANCE          9JAN13  11:09:29
ACTN:                                                            AAA    A99
 TABLE: E-MAIL ADDRESS                    START BROWSING FROM:
                                          INCL  DEACTIVATED  :  N
 F BRK PROCS /SEQ   DESCRIPTION
 _   01 BCLMNTUP001  XXXX2@COMPANY_EMAIL1.CO.ZA
 _   01 BCLMNTUP002  XXXX2@COMPANY_EMAIL2.CO.ZA
```

6.1.2 Error Reporting on COMPR and PCOMPR

A member will be notified via the captured e-mail address whether the job has been successfully processed. If not successful, the reasons per line can be found on COMPR or on the report PCOMPR with error format code of CLMT.

```
OPTN: COMPR              BCS/A: COMMON ERROR ENQUIRY          16NOV12  13:18:07
ACTN:                                                          AAA    A81
ERROR FORMAT CODE: CLMT           ERROR PROCESS DATE: 20120102
FTP Client information upload errors - real time



PF1 HELP  PF2 RETURN  PF4 MENU   PF5 TABLES  PF7/8 PAGE   PF11 -->   PF12 QUIT
```

6.1.3 Response Dataset

In addition to the COMPR notifications, a response dataset will be created with the same dataset name as the upload file with the SEQUENCE number (as passed on the header record) appended to the end of the original file name.

Example:
> BBAP.SPRD.UPLOAD.XXX.CLMNT.SYYYYYYY
> The 'XXX' depicting the relevant 2 or 3 character broker alpha code
> The 'SYYYYYYY' depicting the sequence number as passed on the header record, prefixed with S. See below for the record layout

It is recommended that sequence numbers are not reused. No verification is made on sequence numbers with respect to missing sequences. This is solely used to match an upload file to its respective response file. Should a sequence number be repeated by a member in that day then the response file will have the same sequence number in it. The broker will only be able upload a new file once the previous file has been processed, regardless of the sequence number.

Response File Record Layout

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD CODE	9(3)	999	
BRK-CDE	9(3)		Broker Numeric Code
DATE	9(8)		Process Date
TIME	9(6)		Process Time
TOTAL RECORDS	9(9)		Total Number of Records excluding Header and Trailer Records
RECORDS PROCESSED	9(9)		Total Number of Successfully Processed Records
RECORDS REJECTED	9(9)		Total Number of Rejected Records
FILLER	X(653)		Spaces
COUNT OF MSGS FOR LINE	9(3)		Count of number of messages in this line, if multiple errors the line will be repeated for each error
ERROR MESSAGE	X(50)		Error message code

TOTAL LENGTH	700

Field Name	Data Type and length
CARD-CDE	9(3)
BRK-CDE	9(3)
DATE	9(8)
TIME	9(6)
TOTAL RECORDS	9(9)
RECORDS PROCESSED	9(9)
RECORDS REJECTED	9(9)
FILLER	X(653)
COUNT OF MSGS FOR LINE	9(3)
ERROR MESSAGE	X(50)

A list of all possible errors that will result in the entire file being rejected is shown below.

'RECORD RECEIVED AFTER TRAILER'
'DUPLICATE TRAILER RECEIVED'
'BRK CDE NOT SAME AS HDR'
'TRAILER REC TOTAL NOT SAME AS RECS SENT'
'TRAILER NOT RECEIVED'

Error Descriptions

Where uploaded records contain fields which do not pass validation the reply file will contain the rejected record exactly as it was uploaded and the error description will be appended to the end of the row. If there are multiple fields in the record which fail validation the reply file will replicate the uploaded record for each rejection and append the error description for each field which failed validation.

The example below reflects samples of what was appended to the uploaded records in the reply file.

The uploaded file contained two records which failed validation, the first record had one error and the second had two errors. The number prefixing the error code indicates the number of errors contained in the specific record:

001INVALID ACC ID CODE
002WARNING: ACCOUNT TYPE REQUIRED
002INVALID PRINCIPLE/AGENCY INDICATOR

In another example a single record contained nine fields which failed validation. The uploaded record will be replicated nine times and each error would be appended to each of the nine lines:

009WARNING: ADDRESS LINE 1 REQUIRED
009ADDR LINE2 MANDATORY

009ADDR LINE3 MANDATORY

009POST CODE MANDATORY IF RESIDENT

009SEX MANDATORY IF TITLE POPULATED

009WARNING: PRINCIPLE/AGENCY INDICATOR REQUIRED

009WARNING: MANDATE RECEIVED DATE REQUIRED

009WARNING: REGISTRATION CODE REQUIRED

009WARNING: SCRIP DISPOSAL CODE REQUIRED

6.1.4   New Account Data Set

A new account dataset will also be created with the same dataset name as the upload file, but with the SEQUENCE number and new account code appended to the end of the original file name.

Example:

BBAP.SPRD.UPLOAD.XXX.CLMNT.SYYYYYYY.NEWACNT

The 'XXX' depicting the relevant 2 or 3 character broker alpha code

The 'SYYYYYYY' depicting the sequence number as passed on the header record, prefixed with S.

The 'NEWACNT' will depict the new account code.

This dataset will include the following account fields:

New Account Number, Branch Code, Partner Code, Title , Clients Initials , Clients First Names , Clients Surname Or Company Name , Address , Postal Code, SEX , Language Code , Institution Code , ID Number , Trading Capacity Indicator , Contra Option Code , Managed Code , Settlement Type Code , Registration Instruction Code , Scrip Disposal Code, Negotiated Brokerage Scale Code , Election Indicator , E-Mail Address , Income Tax Number

6.1.5   Archiving

Each file uploaded will be archived onto the daily upload file backup.

The response file will also be archived onto a daily response upload file backup. The length of archive is still to be determined.

6.2   Account Details

6.2.1   Header Record - Card Code 000

The first record on the file to be uploaded is the header record. All numeric fields must be filled with numbers. If there is no value they must be zero filled and not left empty.

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD CODE	9(3)	000	
BRK-CDE	9(3)		Broker Numeric Code
DATE	9(8)		Upload Date
TIME	9(6)		Upload Time
PREFIX	X(1)	"S"	Hard Coded Prefix for Sequence Number
SEQ-NO	X(7)		Sequence Number
FILLER	X(2)		Spaces

TOTAL LENGTH	30

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD CODE	Card Code Number	M	M	NUMERIC	9(3)	000	0
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Validate against BROKM	Must be a valid, active Broker
DATE	Upload Date	M	M	NUMERIC	9(8)	CCYYMMDD	
TIME	Upload Time	M	M	NUMERIC	9(6)	HHMMSS	
PREFIX	Sequence Number Prefix	M	M	CHARACTER	X(1)	"S"	Hard Coded
SEQ-NO	Sequence Number	M	M	CHARACTER	X(7)		
FILLER	Filler	M	M	CHARACTER	X(2)	Spaces	

6.2.2 Trailer Record - Card Code 999

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD CODE	9(3)	999	
BRK-CDE	9(3)		Broker Numeric Code
DATE	9(8)		Process Date
TIME	9(6)		Process Time
TOTAL RECORDS	9(9)		Total Number of Records excluding Header and Trailer Records
RECORDS PROCESSED	9(9)		Total Number of Successfully Processed Records
RECORDS REJECTED	9(9)		Total Number of Rejected Records
FILLER	X(3)		Spaces

TOTAL LENGTH	50

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD CODE	Card Code Number	M	M	NUMERIC	9(3)	999	999
BRK-CDE	Broker Code	M	M	CHARACTER	X(3)	Validate against BROKM	Must be a valid, active Broker
DATE	Process Date	M	M	CHARACTER	9(8)	CCYYMMDD	
TIME	Process Time	M	M	NUMERIC	9(6)	HHMMSS	
TOTAL RECORDS	Total number of records(excluding any header and trailer records)	M	M	NUMERIC	9(9)	Total number of records(excluding any header and trailer records)	Must be filled to ensure all the records received. Total number of records(excluding any header and trailer records) Ensure the total number of records tie back to the total number passed in file.
RECORDS PROCESSED	Total number of successfully processed records	M	M	NUMERIC	9(9)	Total number of successfully processed records	Number of successfully processed records (including sub headers, all records between the header and trailer records)

RECORDS REJECTED	Total number of rejected records	M	M	NUMERIC	9(9)	Total number of rejected records	Number of rejected records (including sub headers, all records between the header and trailer records)
FILLER	Filler	M	M	CHARACTER	X(3)	Spaces	

6.2.3 Mandatory, Conditionally Optional and Frequently Used Optional fields - Card Code 031

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	031	Card code 031
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code – 'C' type only
ACC-TYP-CDE	X(2)		Account type code
ACC-ID-CDE	X(3)		Account ID code
BRN-CDE	X(2)		Branch code
PARTNER-CDE	X(2)		Partner code
CLI-TITLE	X(10)		Clients title
INITIALS	X(4)		Clients initials
FIRST-NAMES	X(40)		Clients first names
SURNAME	X(40)		Clients surname or Company name
ADR-LINE-1	X(40)		Address line 1
ADR-LINE-2	X(40)		Address line 2
ADR-LINE-3	X(40)		Address line 3
ADR-LINE-4	X(40)		Address line 4
POSTAL-CDE	X(4)		Postal code
SEX	X(1)		Male, Female or Both
LANG-CDE	X(1)		Language code
INSTIT-CDE	X(3)		Institution code
STAFF-IND	X(1)		Staff Indicator
INC-BAL	X(1)		Income Balance Indicator (Y/N)
CTA-OPTN-CDE	X(1)		Contra Option Code
MAN-CDE	X(3)		Managed code
SET-TYP-CDE	X(1)		Settlement type code

NON-RES-IND	X(1)	Non-resident indicator
EXCH-CTL	X(1)	Exchange control code
COUNTRY-CDE	X(3)	Country of residence code
PRIN-AG-IND	X(1)	Trading capacity indicator
ELECTION-IND	X(1)	Election indicator
ELEC-RPT-IND	X(1)	Electronic report indicator
TAX-NO	X(12)	Income tax number
VAT-NO	X(13)	Vat Number
EMAIL-ADDR	X(55)	E-mail address
MANDATE-MOD	X(1)	Mandate modification indicator
MAND-CHG-DTE	9(8)	Mandate changed date
MAND-RCV-DTE	9(8)	Mandates received date
SHORT-NAME	X(20)	Shortened account name
REG-INS-CDE	9(2)	Registration instruction code
SCR-DISP-CDE	X(2)	Scrip disposal code
NEG-SCALE	X(3)	Negotiated brokerage scale code
EXTNL-ACC-IND	X(1)	External account indicator
EXTNL-ACC-CDE	X(17)	External account code
EL-PAY-MAN-DTE	9(8)	Electronic payment mandate date
BEE-IND	X(1)	Y(yes) or space
EXP-DTE	9(8)	BEE expiry date
POSTAL ADD-C/O-IND	X(1)	Postal Address 'Care of' Address indicator (Y/N)
C/O NAME	X(30)	Postal Address C/O Name
FREE TEXT	X(64)	Free Text field for Internal Reference
FILLER	X(145)	Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	031	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	N = NEW , U = UPDATE	If Upload Type= N, load a new account. If Upload Type = U, then update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
ACC-TYP-CDE	Account Type Code	M	M	CHARACTER	X(2)	Against Table T.ACT	
ACC-ID-CDE	Account ID Code	CO	CO	CHARACTER	X(3)	Against Table T.AID	Mandatory if Account Type = 'S'
BRN-CDE	Branch Code	O	O	CHARACTER	X(2)	Reference must be made to table T.BRN on BDA for valid values; table is maintained by the JSE.	
PARTNER-CDE	Partner Code	O	O	CHARACTER	X(2)	Reference must be made to table T.PAR on BDA for valid values. Table is maintained by the client.	
CLI-TITLE	Title e.g. Mr., Mrs.	M	O	CHARACTER	X(10)	Reference must be made to table T.TIT on BDA. Table is maintained by JSE.	Has to be populated if the account is an individual's account and if the INSTIT-CDE is not populated.
INITIALS	Initials of client	O	O	CHARACTER	X(4)		
FIRST-NAMES	First names of client	M	M		X(40)		Has to be populated if the account is an individual's account and if the INSTIT-CDE is not populated.
SURNAME	Surname of client, Name of Company	M	M	CHARACTER	X(40)		
ADR-LINE-1	Address Line 1	M	M	CHARACTER	X(40)		
ADR-LINE-2	Address Line 2	O	O	CHARACTER	X(40)		

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
ADR-LINE-3	Address Line 3	O	O	CHARACTER	X(40)		
ADR-LINE-4	Address Line 4	O	O	CHARACTER	X(40)		
POSTAL-CDE	Postal Code	CO	CO	NUMERIC	9(4)		Mandatory if Non-Resident flag is Blank or No
SEX	SEX e.g. M= Male, F= Female or B = Both	CO	CO	CHARACTER	X(1)	Instances are validated against the T.TIT table e.g. If Title is Mrs SEX must be Female. If Title is Dr. SEX is not validated	Mandatory if the Title field is filled in. 'Both' is used if Title is, for instance, Dr & Mrs
LANG-CDE	Language Code e.g. English, Afrikaans	M	M	CHARACTER	X(1)	Values must either be E = English or A=Afrikaans	
INSTIT-CDE	Institution Code	CO	CO	CHARACTER	X(3)	Reference must be made to table T.INS on BDA for valid values. Table is maintained by the JSE.	If the TITLE field is not populated then it is mandatory that the INSTIT-CDE field is populated.
STAFF-IND	Staff Indicator	O	O	CHARACTER	X(1)	Against table T. STF	
INC-BAL	Income Balance	O	O	CHARACTER	X(1)	Valid values are Y, N or the field can be left blank.	
CTA-OPTN-CDE	Contra Option Code	O	O	CHARACTER	X(1)	Reference must be made table T.CTA. Table is maintained by the JSE.	
MAN-CDE	Managed Code e.g. MCS , DCS	M	O	CHARACTER	X(3)	Reference must be made to table T.MAN for valid values. Table is maintained by the JSE.	Determines the way the clients account is managed, refer to table T.MAN for details.
SET-TYP-CDE	Settlement Type Code e.g. C,D	M	M	CHARACTER	X(1)	Reference must be made to table T.SET for valid values. Table is maintained by the JSE.	C= Controlled Account, D = NON-Controlled Account. IF SET-TYP-CDE = D then is mandatory to populate the CSDP details.
NON-RES-IND	Non Resident Indicator - Indicates whether a client is a non-resident client.	CO	CO	CHARACTER	X(1)	Valid values are Y , N or the field can be left blank, which indicates N.	If NON-RES-IND = Y, the EXCH-CTL and COUNTRY-CDE fields must be populated.
EXCH-CTL	Exchange Control	CO	CO	CHARACTER	X(1)	Reference must be made to table T.XCT on BDA for valid values. Table is maintained by the JSE.	Mandatory if NON-RES-IND = Y

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
COUNTRY-CDE	Country Code	CO	CO	CHARACTER	X(3)	Reference must be made to table T.CNT for valid values. Table is maintained by the JSE.	Mandatory if NON-RES-IND = Y
PRIN-AG-IND	Principal/Agent Indicator - Indicates whether the clients trade as principal or agent. Principal - Trades for own account, Agent - Broker trades on behalf of client.	M	M	CHARACTER	X(1)	Reference must be to table T.PAI on BDA for valid values. Table is maintained by the JSE.	
ELECTION-IND	Election Indicator - Indicates the default option the client requires in respect of corporate actions e.g. C=Cash, S= Shares.	O	O	CHARACTER	X(1)	Reference must be made to table T.ELE for valid values. Table is maintained by the JSE.	Determines the default election value in respect of corporate action events.
ELEC-RPT-IND	Electronic Report Indicator	CO	CO	CHARACTER	X(1)	Reference must be made to table T.ERP for valid values. Table is maintained by the JSE.	Indicates the format in which the client requires to receive reports .A = All Electronic, B = Brokers notes only, C = Client Statements, P = PFV Valuations, Blank = Hardcopy only. Client must ensure that the e-mail address field is filled in and if required the relevant link loaded on ARMNT on BDA.
TAX-NO	Tax Number	M	M	CHARACTER	X(12)		Mandatory for all clients now as per SARS requirements.
VAT-NO	Vat Number	CO	CO	CHARACTER	X(13)		Mandatory if the INSTIT-CDE ne " ", not mandatory if the INSTIT-CDE = " "
E-MAIL-ADDR	E-Mail Address	CO	CO	CHARACTER	X(45)		Field is required if ELEC-RPT-IND ne ''. C= Elect Cash , D = Member Discretion

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
							, R = Refer to client , S = Elect Shares
MANDATE-MOD	Date the client's mandate was modified.	O	O	CHARACTER	X(1)	Reference must be made to table T.MAM for valid values. Table is maintained by the client.	
MANDATE-CHG-DTE	Date the clients mandate changed.	M	M	NUMERIC	9(8)		As per JSE rules field must be populated.
MANDATE-RCV-DTE	Date the client signed their mandate.	M	M	NUMERIC	9(8)		As per JSE rules field must be populated.
SHORT-NAME	Clients Short name or Alpha Key	M	M	CHARACTER	X(20)	None	Must accept upload data and not override it
REG-INS-CDE	Registration Instruction Code	M	M	CHARACTER	X(2)	Reference must be made to table T.REG for valid values. Table is maintained by the JSE.	Determines the nominee company in which the client's shares are held.
SCR-DISP-CDE	Scrip Disposal Code	M	O	CHARACTER	X(2)	Reference must be made to table T.DSP for valid values. Table is maintained by the JSE.	
NEG-SCALE	Negotiated Brokerage Scale	O	O	CHARACTER	X(3)	Reference must be made to table T.BRS for valid values. Table is maintained by the JSE.	Brokerage client is charged based on the scale code loaded on T.BRS. If left blank the members default brokerage scale on T.BRS will be applied.
EXTNL-ACC-IND	External Account Indicator	O	O	CHARACTER	X(1)		
EXTNL-ACC-CDE	External Party's Account Code	O	O	CHARACTER	X(17)		
EL-PAY-MAN-DTE	Electronic Payment Mandate Date -Indicates whether a client has an electronic payment mandate in place to receive payments electronically.	O	O	NUMERIC	9(8)		Enables client to receive electronic payments, if this date is populated. Format must YYYYMMDD.
BEE-IND	BEE Indicator - Indicates whether a client is a BEE client.	O	O	CHARACTER	X(1)		Allows client to trade in the BEE sector.

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
EXP-DTE	BEE Expiry Date	CO	CO	NUMERIC	9(8)		Must be populated if BEE-IND if BEE-IND = Y, for individual clients i.e. If INSTIT-CDE = " " then EXP-DTE = 00000000, else if INSTIT-CDE NE " " then EXP-DTE must be set to value uploaded in file e.g. 20121108. Format must YYYYMMDD.
POSTAL ADD-C/O-IND	Postal Address 'Care of' Address indicator (Y/N)	O	O	CHARACTER	X(1)		Y or N - Default to N
C/O NAME	Postal Address 'Care of' person's name	CO	CO	CHARACTER	X(30)		Must be populated if Posta Address C/O Indicator is Y
FREE TEXT	Members internal upload reference	O	O	CHARACTER	X(64)		No Validation
FILLER	Filler	M	M	CHARACTER	X(145)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.4   CSDP Details - Card Code 032

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	032	Card code 032
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type
ACC-CDE	9(7)		Account code - 'C' only
CSDP-CDE	X(2)		Bank code
CSDP-BRN-CDE	X(4)		Bank branch code
CSDP-ACC-NO	X(16)		Bank account number
CSDP-BIC-CDE	X(11)		Bank BIC code
CSDP-BP-ID	X(8)		Business partner ID
CSDP-CSD-ACC-NO	9(9)		System Generated Bank CSD account number
CSH-AGT-CDE	X(2)		CSA Bank code
CSH-AGT-BRN-CDE	X(4)		CSA Bank branch code
CSH-AGT-ACC-NO	X(16)		CSA Bank account number
CSH-AGT-BIC-CDE	X(11)		Bank BIC code
CSH-AGT-BP-ID	X(8)		Business partner ID
CLNT-BIC-CDE	X(11)		Client account BIC code
STRT-CSDP-TEL-NO	X(15)		Strate CSDP telephone number
STRT-ACC-TYP-HOLDER	X(1)		Strate CSDP account type holder
FILLER	X(568)		Spaces

TOTAL LENGTH	700	

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	032	
BRK_CDE	Broker Code	M	M	NUMERIC	9(3)	Check against BROKM	Must be an active, valid broker on BROKM
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
STRT-CSDP-CDE	Bank code	O	CO	CHARACTER	X(2)	Reference must be made to table T.ACB for valid values.	Must be populated if the account is to settle as a non-controlled account
STRT-CSDP-BRN-CDE	Bank branch code	O	CO	CHARACTER	X(4)	Reference must be made to table T.ACB for valid values.	Must be populated if the account is to settle as a non-controlled account
STRT-CSDP-ACC-NO	Bank account number	O	CO	CHARACTER	X(16)	SWIFT 598-007/008 messages	Must be populated if the account is to settle as a non-controlled account
STRT-CSDP-BIC-CDE	Bank BIC code	O	CO	CHARACTER	X(11)	SWIFT 598-007/008 messages	Must be populated if the account is to settle as a non-controlled account
STRT-CSDP-BP-ID	Business partner ID	O	CO	CHARACTER	X(8)	None	Must be populated if the account is to settle as a non-controlled account
STRT-CSDP-CSD-ACC-NO	Bank CSD account number	O	CO	CHARACTER	9(9)	SWIFT 598-007/008 messages	System Generated - Must be populated with 000000000 in the upload.
STRT-CSH-AGT-CDE	CSA Bank code	O	CO	CHARACTER	X(2)	Reference must be made to table T.ACB for valid values.	Must be populated if the account is to settle as a non-controlled account
STRT-CSH-AGT-BRN-CDE	CSA Bank branch code	O	CO	CHARACTER	X(4)	Reference must be made to table T.ACB for valid values.	Must be populated if the account is to settle as a non-controlled account
STRT-CSH-AGT-ACC-NO	CSA Bank account number	O	CO	CHARACTER	X(16)	SWIFT 598-007/008 messages	Must be populated if the account is to settle as a non-controlled account
STRT-CSH-AGT-BIC-CDE	Bank BIC code	O	CO	CHARACTER	X(11)	SWIFT 598-007/008	Must be populated if the account is to settle as a

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
						messages	non-controlled account
STRT-CSH-AGT-BP-ID	Business partner ID	O	CO	CHARACTER	X(8)	None	Must be populated if the account is to settle as a non-controlled account
CLNT-BIC-CDE	Client account BIC code	O	O	CHARACTER	X(11)	None	May be populated if client has a BIC
STRT-CSDP-TEL-NO	Strate CSDP telephone number	O	O	CHARACTER	X(15)	None	
STRT-ACC-TYP-HOLDER	Strate CSDP account type holder	O	CO	CHARACTER	X(1)	Reference must be made to table T.ATY for valid values. Table is maintained by the JSE.	Must be populated if the account is to settle as a non-controlled account
FILLER	Filler	M	M	CHARACTER	X(568)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.5   All Other Fields - Card Code 033

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	033	Card code 033
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type U = Update
ACC-CDE	9(7)		Account code – 'C' type only
SC-CHG-CDE	9(2)		Safe custody charge code
TEL-NO-1	X(15)		Telephone number 1
TEL-NO-2	X(15)		Telephone number 2
TELEFAX	X(15)		Telefax number
REF-BY	X(30)		Name of person who referred client
SPEC-REG-NAME	X(70)		Full name for registration
INV-RET-CDE	X(2)		Investment return code
SLB-RATE	9(5)		Scrip lending and borrowing rate
DV-ADV-NOTES-IND	X(1)		Dividend Advice Note Indicator
ASSET-RATE	9(5)		Account asset rating
RTS-CONSOL-IND	X(1)		Entitlements consolidation indicator
ALLOC-SEQ-NO	9(3)		Scrip allocation sequence code
VAT-INV-IND	X(1)		VAT invoice to be created - 'Y' or ' '
POW-ATT	X(1)		Power of attorney held
CLI-IND	X(7)		Client indicator
CLI-CAT	X(2)		Client category
SCRIP-ACC-NO	X(12)		Scrip account number
MIN-NTE-IND	X(1)		Minimised note indicator
CR-LIM-CDE	X(2)		Credit limit (Removed)
CR-LIM-AMT	9(15)		Credit limit amount
SAFEX-ACC-CDE	X(5)		SAFEX account code related to BDA
SAFEX-RATE-CLASS	X(1)		SAFEX rate charge
UNEX-CDE	X(8)		UNEXcor code
MMKT-IND	X(1)		Indicates a money market account

MMKT-MANDATE-DTE	9(8)	Mandate date for money market account
NO-MST-IND	X(1)	Marketable securities tax indicator
CMPY-RPT-IND	X(1)	Company Report indicator
PF-MAN-CHG-CDE	9(2)	Portfolio management charge code
DTE-DEACTIVATED	9(8)	Date deactivated
FILLER	X(446)	Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	033	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
SC-CHG-CDE	Safe Custody Charge Code	O	O	CHARACTER	X(2)	Reference must be made to table T.DSP for valid values. Table is maintained by the JSE.	Amount the client is charged by the broker for holding their shares in safe custody.
TEL-NO-1	Telephone Number 1	O	O	CHARACTER	X(15)		
TEL-NO-2	Telephone Number 2(Alternate Number)	O	O	CHARACTER	X(15)		
TELEFAX	Fax Number	O	O	CHARACTER	X(15)		
REF-BY	The name of the person who referred the client to the brokerage	O	O	CHARACTER	X(30)		
SPEC-REG-NAME	Full name for registration	O	O	CHARACTER	X(70)		
INV-RET-CDE	Investment return code	O	O	CHARACTER	X(2)		
SLB RATE	Scrip lending and borrowing rate	O	O	NUMERIC	9(5)		
DV-ADV-NOTES-IND	Dividend Advice Note Indicator – Does the Client want Dividend Advices	O	O	CHARACTER	X(1)		
ASSET RATE	Account asset rating	O	O	NUMERIC	9(5)		
RTS-CONSOL-IND	Rights consolidation indicator	O	O	CHARACTER	X(1)	Y/N indicator as to whether Entitlements should be consolidated	Maps to ENT.CONSOLIDATION on the front-end
ALLOC-SEQ-NO	Scrip allocation sequence	O	O	NUMERIC	9(3)		

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
	code						
VAT-INV-IND	VAT invoice to be created - 'Y' or ' '	O	O	CHARACTER	X(1)		
POW-ATT	Power of attorney held	O	O	CHARACTER	X(1)		
CLI-IND	Client indicator	O	O	CHARACTER	X(7)		
CLI-CAT	Client category	O	O	CHARACTER	X(2)		
SCRIP-ACC-NO	Scrip account number	O	O	CHARACTER	X(12)		
MIN-NTE-IND	Minimised note indicator	O	O	CHARACTER	X(1)		
CR-LIM-CDE	Credit limit	O	O	CHARACTER	X(2)		
CR-LIM-AMT	Credit limit amount	O	O	NUMERIC	9(15)		
SAFEX-ACC-CDE	SAFEX Account Code - Applicable to the Equity, Commodity Derivatives & Yield-X Markets.	O	O	CHARACTER	X(5)		
SAFEX-RATE CLASS	SAFEX rate charge	O	O	CHARACTER	X(1)		
UNEX-CDE	Unexcor Code - Indicates whether a client trades in the Bond Market	O	O	CHARACTER	X(8)		
MMKT-IND	Money Market Indicator	O	O	CHARACTER	X(1)		Must be populated if MMKT-IND = Y.
MMKT-MANDATE-DTE	Money market Mandate Date	O	O	NUMERIC	9(8)		Enables client to invest in Call or Fixed Deposits, if this date is populated. Format must YYYYMMDD.
NO-MST-IND	Marketable securities tax indicator	O	O	CHARACTER	X(1)		
CMPY-RPT-IND	Company Report Indicator - Indicates whether a client requires company reports for the stocks they hold.	O	O	CHARACTER	X(1)	Valid values are Y, N or the field can be left blank.	If populated client will be flagged to receive company reports for the stocks they hold.
PF-MAN-CHG-CDE	Portfolio management	O	O	NUMERIC	9(2)	Validate against T.PFM	

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
	charge code						
DTE-DEACTIVATED	Date deactivated	O	O	NUMERIC	9(8)		
FILLER	Filler	M	M	CHARACTER	X(446)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.6 Additional CLMNT fields - Card Code 036

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	036	Card code 036
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code ('C' or '*')
Nameless Account Descriptor	X(18)		Free form field e.g. PLEDGED
PROCESS INTEREST	X(1)		Interest Processing indicator - Not linked to Interest Program yet
			C = Credit Interest Only,
			D = Debit Interest Only
			N = neither interest type to be processed
			B = both types to be processed.
PLEDGE VALUE REQUIRED	9(10)		Amount required to be pledged
POA IN FAVOUR OF	X(55)		Name of person who holds Power of Attorney
FAX INDEMNITY RECEIVED	9(8)		Date Fax indemnity received.
SOURCE OF INCOME	X(10)		Client primary source of income must be recorded
PROCESS MARGIN	X(1)		Y/N - Margins call/refunds processed to the client
RETAIL-CLI-IND	X(1)		Y/N – Retail Client Indicator
TFSA-IND	X(1)		Tax Free Savings Account Indicator (' '. Y, N)
FOREIGN-POST-CDE	X(10)		Foreign address Postal Codes
POST-CNTRY-CDE	X(3)		Postal Address Country Code
TRADING ADDRESS Line 1	X(40)		ADMNT Trading Address Line 1
Line 2	X(40)		ADMNT Trading Address Line 2
Line 3	X(40)		ADMNT Trading Address Line 3
Line 4	X(40)		ADMNT Trading Address Line 4
POSTAL CODE	X(10)		ADMNT Trading Address Postal Code
REASON-FOR-NO-TAX-NUMBER	X(50)		Reason for no Tax number provided by client
FILLER	X(348)		Spaces

TOTAL LENGTH	700	

Field Details

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	036	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
Nameless Account Descriptor	Free form field e.g. PLEDGED	O	O	CHARACTER	X(18)		
PROCESS INTEREST	Interest Processing indicator - Not linked to Interest Program yet	O	O	CHARACTER	X(1)	Default is B C = Credit Interest Only, D = Debit Interest Only N = Neither interest type to be processed B = Both types to be processed.	NOT LINKED TO INTEREST PROGRAM YET - For future development
PLEDGE VALUE REQUIRED	Amount required to be pledged	O	O	NUMERIC	9(10)		Link to report - for future development
POA IN FAVOUR OF	Name of person who holds Power of Attorney	O	O	CHARACTER	X(55)		
FAX INDEMNITY RECEIVED	Date Fax indemnity received.	O	O	NUMERIC	9(8)		
SOURCE OF INCOME	Client primary source of income must be recorded	O	O	CHARACTER	X(10)	Validate - T.SOI	
PROCESS MARGIN	Y/N - Margins call/refunds processed to the client	O	O	CHARACTER	X(1)	Default is N	NOT OPERATIONAL - For future development

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
RETAIL-CLI-IND	Y/N – Retail Client Indicator	O	O	CHARACTER	X(1)	Y/N/Blank = N Default is Blank	
TFSA-IND	Tax Free Savings Account Indicator (' '. Y, N)	O	O	CHARACTER	X(1)	Y/N/Blank = N Default is Blank	
FOREIGN-POST-CDE	Foreign address Postal Codes	O	O	CHARACTER	X(10)		
POST-CNTRY-CDE	Postal Address Country Code	O	O	CHARACTER	X(3)	Validate - T.CNT	
TRADING ADDRESS Line 1	ADMNT Trading Address Line 1	O	O	CHARACTER	X(40)		
Line 2	ADMNT Trading Address Line 0	O	O	CHARACTER	X(40)		
Line 3	ADMNT Trading Address Line 1	O	O	CHARACTER	X(40)		
Line 4	ADMNT Trading Address Line 2	O	O	CHARACTER	X(40)		
POSTAL CODE	ADMNT Trading Address Postal Code	O	O	CHARACTER	X(10)		
REASON-FOR-NO-TAX-NUMBER	Reason for no Tax number provided by client	O	O	CHARACTER	X(50)		
FILLER	Filler	M	M	CHARACTER	X(348)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.7   Additional Address Maintenance Fields - Card Code 037

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	037	Card code 037
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code
SAME AS POSTAL ADD	X(1)		Same as Postal Address Indicator
PHY ADD C/O IND	X(1)		Physical Address C/O Indicator
PHY-C/O-NAME	X(30)		Physical Address 'Care of' Name
PHY-UNIT-NUMBER	X(5)		Physical Address Unit Number
PHY-COMPLEX-NAME	X(26)		Physical Address Complex Name
PHY-STREET-NUMBER	X(5)		Physical Address Street Number
PHY-STREET/NAME-OF-FARM	X(26)		Physical Address Street Name/Name of Farm
PHY-SUBURB/DISTRICT	X(34)		Physical Address Suburb or District Name
PHY-CITY/TOWN	X(23)		Physical Address City or Town Name
PHY-POST-CDE	X(10)		Physical Address Postal Code
PHY-CNTRY-CDE	X(3)		Physical Address Country Code
FAX#2-CNTRY	X(3)		Country Code of Fax Number 2
FAX#2	X(10)		Fax Number 2
TEL#3-CNTRY	X(3)		Country Code of Telephone Number 3
TEL#3	X(10)		Telephone Number 3
TEL#4-CNTRY	X(3)		Country Code of Telephone Number 4
TEL#4	X(10)		Telephone Number 4
E-MAIL#2	X(55)		Additional E-mail Address 2
E-MAIL Descriptor 2	X(26)		E-mail address 2 use description
E-MAIL#3	X(55)		Additional E-mail Address 3
E-MAIL Descriptor 3	X(26)		E-mail address 3 use description
E-MAIL#4	X(55)		Additional E-mail Address 4
E-MAIL Descriptor 4	X(26)		E-mail address 4 use description
CELL 1	X(10)		Additional cell phone number1

Cell Descriptor 1	X(26)	Cell Phone 1 use description
CELL 2	X(10)	Additional cell phone number2
Cell Descriptor 2	X(26)	Cell Phone 1 use description
CELL 3	X(10)	Additional cell phone number3
Cell Descriptor 3	X(26)	Cell Phone 1 use description
FILLER	X(132)	Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	037	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
SAME AS POSTAL ADD	Same as Postal Address Indicator	O	O	CHARACTER	X(1)	Y/N Default is N	
PHY ADD C/O IND	Physical Address C/O Indicator	O	O	CHARACTER	X(1)	Y/N Default is N	
PHY-C/O-NAME	Physical Address 'Care of' Name	O	O	CHARACTER	X(30)		
PHY-UNIT-NUMBER	Physical Address Unit Number	O	O	CHARACTER	X(5)		
PHY-COMPLEX-NAME	Physical Address Complex Name	O	O	CHARACTER	X(26)		

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
PHY-STREET-NUMBER	Physical Address Street Number	O	O	CHARACTER	X(5)		
PHY-STREET/NAME-OF-FARM	Physical Address Street Name/Name of Farm	O	O	CHARACTER	X(26)		
PHY-SUBURB/DISTRICT	Physical Address Suburb or District Name	O	O	CHARACTER	X(34)		
PHY-CITY/TOWN	Physical Address City or Town Name	O	O	CHARACTER	X(23)		
PHY-POST-CDE	Physical Address Postal Code	O	O	CHARACTER	X(10)		
PHY-CNTRY-CDE	Physical Address Country Code	O	O	CHARACTER	X(3)	Validate - T.CNT	
FAX#2-CNTRY	Country Code of Fax Number 2	O	O	CHARACTER	X(3)	Validate - T.CNT	
FAX#2	Fax Number 2	O	O	CHARACTER	X(10)		
TEL#3-CNTRY	Country Code of Telephone Number 3	O	O	CHARACTER	X(3)	Validate - T.CNT	
TEL#3	Telephone Number 3	O	O	CHARACTER	X(10)		
TEL#4-CNTRY	Country Code of Telephone Number 4	O	O	CHARACTER	X(3)	Validate - T.CNT	
TEL#4	Telephone Number 4	O	O	CHARACTER	X(10)		
E-MAIL#2	Additional E-mail Address 2	O	O	CHARACTER	X(55)		
E-MAIL Descriptor 2	E-mail address 2 use description	O	O	CHARACTER	X(26)		

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
E-MAIL#3	Additional E-mail Address 3	O	O	CHARACTER	X(55)		
E-MAIL Descriptor 3	E-mail address 3 use description	O	O	CHARACTER	X(26)		
E-MAIL#4	Additional E-mail Address 4	O	O	CHARACTER	X(55)		
E-MAIL Descriptor 4	E-mail address 4 use description	O	O	CHARACTER	X(26)		
CELL 1	Additional cell phone number1	O	O	CHARACTER	X(10)		
Cell Descriptor 1	Cell Phone 1 use description	O	O	CHARACTER	X(26)		
CELL 2	Additional cell phone number 2	O	O	CHARACTER	X(10)		
Cell Descriptor 2	Cell Phone 2 use description	O	O	CHARACTER	X(26)		
CELL 3	Additional cell phone number 3	O	O	CHARACTER	X(10)		
Cell Descriptor 3	Cell Phone 3 use description	O	O	CHARACTER	X(26)		
FILLER	Filler	M	M	CHARACTER	X(132)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.8 Legal and Tax Maintenance Fields - Card Code 038

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	038	Card code 038
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code– 'C' or '*'
ID TYPE	9(3)		Code identifying type of ID
ID	X(30)		ID no or Passport No or CO REG No or Trust No
CTRY-OF-ISSUE/INC	X(3)		Country that issue ID, REG NO, TRUST NO etc.
DTE-OF-ISSUE/INC	9(8)		Date ID was Issued, Date of Incorporation etc.
PP-EXPIRY-DTE	9(8)		Date Passport expires
DTE-OF-BIRTH	9(8)		If an individual, clients date of birth
CNTRY-OF-BIRTH	X(3)		If an individual, clients country of birth
PLACE-OF-BIRTH	X(33)		If an individual, clients place of birth
CTRY-OF-RES-FOR-DWT	X(3)		Country of Residence for DWT
DWT-EXEMP-FORM-REC	X(1)		DWT Exemption - Validate against T.TEX exemption form received
IT3B-EXCL	X(1)		Y/N indicator - Y excludes client from IT3B run
IT3C-EXCL	X(1)		Y/N indicator - Y excludes client from IT3C run
DWT FORM-A-OR-B	X(1)		DWT Tax Directive form
DTA-FORM-REC	X(3)		Country Code of DTA
PASS-THRU	X(1)		Dividend pass through indicator
REPORTING-ACCOUNT	X(1)		Indicator of whether foreign tax reporting is required
ACC HOLDER TYP	X(8)		Account Holder Type as per SARS table
ACC STATUS	X(2)		Account Status as per SARS table
LEG-ENT-CDE	X(2)		Legal Entity mapped to SARS's Nature of Person
FI GIIN	X(19)		Financial Institutions GIIN if registered
INDICIA1	X(3)		Country code of 1st indicia recorded
INDICIA2	X(3)		Country code of 2nd indicia recorded
INDICIA3	X(3)		Country code of 3rd indicia recorded
INDICIA4	X(3)		Country code of 4th indicia recorded
INDICIA5	X(3)		Country code of 5th indicia recorded
TAX-RES1	X(3)		Country of 1st tax residency
TAX-RES2	X(3)		Country of 2nd residency

TAX-RES3	X(3)	Country of 3rd tax residency
TAX-RES4	X(3)	Country of 4th tax residency
TAX-RES5	X(3)	Country of 5th tax residency
NAL1	X(3)	1st Nationality
NAL2	X(3)	2nd Nationality
NAL3	X(3)	3rd Nationality
NAL4	X(3)	4th Nationality
NAL5	X(3)	5th Nationality
TIN1	X(20)	1st Foreign Tax Identification Number
TIN2	X(20)	2nd Foreign Tax Identification Number
TIN3	X(20)	3rd Foreign Tax Identification Number
TIN4	X(20)	4th Foreign Tax Identification Number
TIN5	X(20)	5th Foreign Tax Identification Number
JUR1	X(3)	Country of jurisdiction of 1st TIN
JUR2	X(3)	Country of jurisdiction of 2nd TIN
JUR3	X(3)	Country of jurisdiction of 3rd TIN
JUR4	X(3)	Country of jurisdiction of 4th TIN
JUR5	X(3)	Country of jurisdiction of 5th TIN
SIC-CDE	9(5)	Standard Industrial Classification Code
FICA COMPLIANT	X(1)	FICA compliance indicator
DATE	9(8)	Date of FICA Compliance
CLIENT RISK	X(1)	Client risk defined as H = HIGH, M = MEDIUM or L = LOW
SANCTIONS-POLITICALLY EXPOSED PERSON	X(1)	Y/N indicator - politically exposed person
CPA MARKETING MATERIAL	X(1)	Y/N indicator - CPA marketing material
CPA MATERIAL DISTRIBUTION	X(1)	P - Post or E for E-mail
INDIVIDUALS-PREVIOUSLY DISADVANTAGED	X(1)	Y/N indicator - client of previously disadvantaged race
COMPANIES-ANNUAL TURNOVER	9(15)	Annual Turnover or Asset Value of a company
TRUSTS-NO OF TRUSTEES	9(4)	Number of Trustees
JURISTIC TRUSTEE	X(1)	Y/N - is any trustee a juristic person
BND-NAME	X(70)	Clean Name for BND Dissemination
RE-VER-DTE	9(8)	Date Account was re-verified
ALT-ID	X(13)	Alternative ID field

COMM-BY-ISSUER PIC	X(1)	Communication by Issuer
UND-REASON-CDE	X(3)	CRS Undocumented Reason Code
GEN-COMPLNCE-REASON-CDE	X(3)	CRS General Compliance Reason Code
FILLER	X(250)	Spaces

| TOTAL LENGTH | 700 |

Field Details

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	038	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
ID TYPE	Code identifying type of ID	M	M	NUMERIC	9(3)	Validate - T. TID	
ID	ID no or Passport No or CO REG No or Trust No	M	M	CHARACTER	X(30)		
CTRY-OF-ISSUE/INC	Country that issue ID, REG NO, TRUST NO etc.	O	O	CHARACTER	X(3)	Validate - T.CNT	
DTE-OF-ISSUE/INC	Date ID was Issued, Date of Incorporation etc.	O	O	NUMERIC	9(8)		
PP-EXPIRY-DTE	Date Passport expires	O	O	NUMERIC	9(8)		
DTE-OF-BIRTH	If an individual, clients date of birth	O	O	NUMERIC	9(8)		

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
CNTRY-OF-BIRTH	If an individual, clients country of birth	O	O	CHARACTER	X(3)	Validate - T.CNT	
PLACE-OF-BIRTH	If an individual, clients place of birth	O	O	CHARACTER	X(33)		
CTRY-OF-RES-FOR-DWT	Country of Residence for DWT	O	O	CHARACTER	X(3)	Validate - T.CNT	
DWT-EXEMP-FORM-REC	DWT Exemption - Y/N	S	S	CHARACTER	X(1)	No Upload - Tax Exemption must be loaded on TAXEX and if loaded this field will change to Y	
IT3B-EXCL	Y/N indicator - Y excludes client from IT3B run	O	O	CHARACTER	X(1)	Y/N	Y excludes client from IT3B run
IT3C-EXCL	Y/N indicator - Y excludes client from IT3C run	O	O	CHARACTER	X(1)	Y/N	Y excludes client from IT3C run
DWT FORM-A-OR-B	DWT Tax Directive form	O	O	CHARACTER	X(1)	A or B	
DTA-FORM-REC	Country Code of DTA	O	O	CHARACTER	X(3)	Validate - T.CNT	
PASS-THRU	Dividend pass through indicator	O	O	CHARACTER	X(1)	Y/N default to N	
REPORTING-ACCOUNT	Indicator of whether foreign tax reporting is required	O	O	CHARACTER	X(1)	Y/N default to N	
ACC HOLDER TYP	Account Holder Type as per T.AHT table	O	O	CHARACTER	X(8)	Validate - T.AHT	
ACC STATUS	Account Status as per T.AST table	O	O	CHARACTER	X(2)	Validate - T. AST	
LEG-ENT-CDE	Legal Entity mapped to SARS's Nature of Person	CO	CO	CHARACTER	X(2)	Validate - T.LGE	Mandatory if REPORTING ACCOUNT is Y

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
FI GIIN	Financial Institutions GIIN if registered	O	O	CHARACTER	X(19)	Validate against GIIN format	
INDICIA1	Country code of 1st indicia recorded	O	O	CHARACTER	X(3)	Validate - T.CNT	
INDICIA2	Country code of 2nd indicia recorded	O	O	CHARACTER	X(3)	Validate - T.CNT	
INDICIA3	Country code of 3rd indicia recorded	O	O	CHARACTER	X(3)	Validate - T.CNT	
INDICIA4	Country code of 4th indicia recorded	O	O	CHARACTER	X(3)	Validate - T.CNT	
INDICIA5	Country code of 5th indicia recorded	O	O	CHARACTER	X(3)	Validate - T.CNT	
TAX-RES1	Country of 1st tax residency	O	O	CHARACTER	X(3)	Validate - T.CNT	
TAX-RES2	Country of 2nd tax residency	O	O	CHARACTER	X(3)	Validate - T.CNT	
TAX-RES3	Country of 3rd tax residency	O	O	CHARACTER	X(3)	Validate - T.CNT	
TAX-RES4	Country of 4th tax residency	O	O	CHARACTER	X(3)	Validate - T.CNT	
TAX-RES5	Country of 5th tax residency	O	O	CHARACTER	X(3)	Validate - T.CNT	
NAL1	1st Nationality	O	O	CHARACTER	X(3)	Validate - T.CNT	
NAL2	2nd Nationality	O	O	CHARACTER	X(3)	Validate - T.CNT	

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
NAL3	3rd Nationality	O	O	CHARACTER	X(3)	Validate - T.CNT	
NAL4	4th Nationality	O	O	CHARACTER	X(3)	Validate - T.CNT	
NAL5	5th Nationality	O	O	CHARACTER	X(3)	Validate - T.CNT	
TIN1	1st Foreign Tax Identification Number	O	O	CHARACTER	X(20)		
TIN2	2nd Foreign Tax Identification Number	O	O	CHARACTER	X(20)		
TIN3	3rd Foreign Tax Identification Number	O	O	CHARACTER	X(20)		
TIN4	4th Foreign Tax Identification Number	O	O	CHARACTER	X(20)		
TIN5	5th Foreign Tax Identification Number	O	O	CHARACTER	X(20)		
JUR1	Country of jurisdiction of 1st TIN	O	O	CHARACTER	X(3)	Validate - T.CNT	
JUR2	Country of jurisdiction of 2nd TIN	O	O	CHARACTER	X(3)	Validate - T.CNT	
JUR3	Country of jurisdiction of 3rd TIN	O	O	CHARACTER	X(3)	Validate - T.CNT	
JUR4	Country of jurisdiction of 4th TIN	O	O	CHARACTER	X(3)	Validate - T.CNT	
JUR5	Country of jurisdiction of 5th TIN	O	O	CHARACTER	X(3)	Validate - T.CNT	
SIC-CDE	Standard Industrial Classification Code	O	O	NUMERIC	9(5)		

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
FICA COMPLIANT	FICA compliance indicator	O	O	CHARACTER	X(1)	Y/N/Blank = N	
DATE	Date of FICA Compliance	O	O	NUMERIC	9(8)		
CLIENT RISK	Client risk defined as HIGH, MEDIUM or LOW	O	O	CHARACTER	X(1)		
SANCTIONS-POLITICALLY EXPOSED PERSON	Y/N indicator - politically exposed person	O	O	CHARACTER	X(1)		
CPA MARKETING MATERIAL	Y/N indicator - CPA marketing material	O	O	CHARACTER	X(1)	Y/N	
CPA MATERIAL DISTRIBUTION	How Consumer Protection Act Material must be distributed	O	O	CHARACTER	X(1)	P - Post or E for E-mail	
INDIVIDUALS-PREVIOUSLY DISADVANTAGED	Y/N indicator - client of previously disadvantaged race	O	O	CHARACTER	X(1)	Y/N	
COMPANIES-ANNUAL TURNOVER	Annual Turnover or Asset Value of a company	O	O	NUMERIC	9(15)		
TRUSTS-NO OF TRUSTEES	Number of Trustees	O	O	NUMERIC	9(4)		
JURISTIC TRUSTEE	Y/N - is any trustee a juristic person	O	O	CHARACTER	X(1)	Y/N	
BND-NAME	Clean Name for BND Dissemination - Must be	M	M	CHARACTER	X(70)		

Field Name	Field Description	CTL (M/O/CO /S)	NCTL (M/O/CO /S)	Data Type	Data Length	Data Validation	Logic Validation
	in accordance with to STRATE BND expected Format - No special Characters						
RE-VER-DTE	Date Account was re-verified	O	O	NUMERIC	9(8)		
ALT-ID	Alternative ID field	O	O	CHARACTER	X(13)		
COMM-BY-ISSUER	Communication by Issuer	M	O	CHARACTER	X(1)	Valid Values Y/N/M	
UND-REASON-CDE	CRS Undocumented Reason Code	CO	O	CHARACTER	X(13)	Validate against T.URC	Mandatory if Account Status is OU
GEN-COMPLNCE-REASON-CDE	CRS General Compliance Reason Code	O	O	CHARACTER	X(13)	Validate against T.GCR	
FILLER	Filler	M	M	CHARACTER	X(250)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.9   Portfolio Details - Card Code 034

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	034	Card code 034
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type
ACC-CDE	9(7)		Account code
ADVR-CDE	X(4)		Advisor Code
ACCUM-PROFIT	9(15) (13,2)		Accumulated Profit
INV-TYP	X(3)		Investment Type T.ITC
FILLER	X(664)		Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	034	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Against BROKM	Must be a valid, active Broker
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.
ADVR-CDE	Advisor Code - Indicates the advisor who manages the account	CO	CO	CHARACTER	X(4)	Validate against T.ADV	If this ADVR-CDE field is populated on CLTM , the CLMNT screen on BDA must be updated with this information as well as the PF-IND on CLMNT must be set to Y.
ACCUM-PROFIT	Accumulated Profit	O	O	NUMERIC	9(15)(13,2)	None	

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
INV-TYP	Investment Type Code	O	O	CHARACTER	X(3)	None	Validate against Table T.ITC
FILLER	Filler	M	M	CHARACTER	X(664)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.10   Banking Details - Card Code 035

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	035	Card code 035
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type
ACC-CDE	9(7)		Account code
BAL-CDE	X(2)		Balance code
BANK-BRN-NO	9(6)		Bank branch code
BANK-ACC-NO	X(16)		Bank account number
BANK-DESCRIP	X(20)		Bank name
BANK-ACC-TYP	X(1)		Bank account type
PAYEE-NAME	X(35)		Payee or account name
PREFERRED-ACC	X(1)		Preferred account indicator
FILLER	X(605)		Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	035	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Check against BROKM	Must be an active, valid broker on BROKM
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	U = UPDATE	Only Upload Type = U allowed. Update account based on fields uploaded.
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Account will be generated based on the available account range.	Account will be generated based on the available account range.

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
BAL-CDE	BDA Account Balance Code	O	O	CHARACTER	X(2)	Reference to T.BAL for valid balance codes	If the field is not populated, the system will not generate a default balance code, i.e. field will be left blank.
BANK-BRN-NO	Bank Branch Code	CO	CO	NUMERIC	9(6)	Mandatory if BANK ACC DETAILS = " " (Blank)	Mandatory if BANK ACC DETAILS = " " (Blank)
BANK-ACC-NO	Bank Account Number	CO	CO	CHARACTER	X(16)	Mandatory if BANK ACC DETAILS = " " (Blank)	Mandatory if BANK ACC DETAILS = " " (Blank)
BANK-DESCRIP	Bank Account Description	O	O	CHARACTER	X(20)		
BANK-ACC-TYP	Bank Account Type	CO	CO	CHARACTER	X(1)	Reference be made to T.BAT for the valid Account Types	Mandatory if BANK ACC DETAILS = " " (Blank)
PAYEE-NAME	The name of the payee	O	O	CHARACTER	X(35)	Defaults to the name of the account holder	Mandatory if BANK ACC DETAILS = " " (Blank)
PREFERRED- ACC	Preferred Banking Account	CO	CO	CHARACTER	X(1)	Y/N	In the instance with multiple accounts loaded, the preferred account option becomes applicable. If this account is the preferred banking account, indicate with 'Y'. If the field is left blank, will default to 'N' Should there be no Preferred account indicated as 'Y' , any payment will be paid out the first bank account loaded
FILLER	Filler	M	M	CHARACTER	X(605)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.11 Supplementary Names and Address Details Record - Layout 039

New Supplementary Names and Address details record

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	039	Card code 039
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code
ACC-TYP-CDE	X(2)		Account type code– '*' type only
BRN-CDE	X(2)		Branch code
PARTNER-CDE	X(2)		Partner code
FIRST-NAMES	X(40)		Account holders first names
C/O-ADD-IND	X(1)		'Care of' Address indicator (Y/N)
TITLE	X(10)		Clients title
INITIALS	X(4)		Clients initials
SURNAME	X(40)		Clients surname
SA RESIDENT	X(1)		Y/N indicator
ADR-LINE-1	X(40)		Address line 1
ADR-LINE-2	X(40)		Address line 2
ADR-LINE-3	X(40)		Address line 3
ADR-LINE-4	X(40)		Address line 4
POSTAL-CDE	X(4)		Postal code
COUNTRY-CDE	X(3)		Country of residence code
FGN-POST-CDE	X(10)		Foreign Address Postal Code
TEL-NO-1	X(15)		Telephone number 1
TEL-NO-2	X(15)		Telephone number 2
TELEFAX	X(15)		Telefax number
EMAIL-ADDR	X(55)		E-mail address

ALPHA-CDE	X(20)	Alpha or shortened account name
INSTIT-CDE	X(3)	Institution Code
LANG-CDE	X(1)	Language Code (E, A)
SCR-DISP-CDE	X(2)	Scrip Disposal Code
ETC-FUND-CDE	X(3)	Electronic Trade Confirmation Fund Code
ETC-FUND-BIC	X(11)	Electronic Trade Confirmation Fund BIC
ELEC-REP-IND	X(1)	Electronic Report Indicator
SHAREHOLDER-%	9(3)	% this account holder holds in the linked entity
CONTROL-PERSON-TYP	X(8)	Identifies the type of controlling person
CONTACT	X(55)	Contract person details
CELL	9(10)	Cell Number
DESCRIPTION	X(26	Field used to describe when cell to be used
FILLER	X(164)	Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	039	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Check against BROKM	Must be an active, valid broker on BROKM
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	Upload Type – N = New, U = Update	
ACC-CDE	Account code	S	S	NUMERIC	9(7)	Must a valid Account	
ACC-TYP-CDE	Account type code	M	M	CHARACTER	X(2)	T.ACT	Must be Account Type *
BRN-CDE	Branch code	O	O	CHARACTER	X(2)	Validate T.BRN	

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
PARTNER-CDE	Partner code	O	O	CHARACTER	X(2)	Validate T.PAR	
FIRST-NAMES	Account holders first names	O	O	CHARACTER	X(40)		
C/O-ADD-IND	'Care of' Address indicator (Y/N)	O	O	CHARACTER	X(1)	Y/N	
TITLE	Clients title	O	O	CHARACTER	X(10)	Validate T.TIT	
INITIALS	Clients initials	O	O	CHARACTER	X(4)		
SURNAME	Clients surname	M	M	CHARACTER	X(40)		
SA RESIDENT	Y/N indicator	O	O	CHARACTER	X(1)	Y/N	
ADR-LINE-1	Address line 1	M	M	CHARACTER	X(40)		
ADR-LINE-2	Address line 2	M	M	CHARACTER	X(40)		
ADR-LINE-3	Address line 3	O	O	CHARACTER	X(40)		
ADR-LINE-4	Address line 4	O	O	CHARACTER	X(40)		
POSTAL-CDE	Postal code	M	M	CHARACTER	X(4)		Must be blank if FGN-POST-CDE completed
COUNTRY-CDE	Country of residence code	O	O	CHARACTER	X(3)		
FGN-POST-CDE	Foreign Address Postal Code	O	O	CHARACTER	X(10)		Must be blank if POSTAL-CDE completed
TEL-NO-1	Telephone number 1	O	O	CHARACTER	X(15)		
TEL-NO-2	Telephone number 2	O	O	CHARACTER	X(15)		
TELEFAX	Telefax number	O	O	CHARACTER	X(15)		
EMAIL-ADDR	E-mail address	O	O	CHARACTER	X(55)		
ALPHA-CDE	Alpha or shortened account name	O	O	CHARACTER	X(20)		
INSTIT-CDE	Institution Code	O	O	CHARACTER	X(3)	Validate T.INS	
LANG-CDE	Language Code (E, A)	O	O	CHARACTER	X(1)	E or A	
SCR-DISP-CDE	Scrip Disposal Code	O	O	CHARACTER	X(2)	Validate T. DSP	
ETC-FUND-CDE	Electronic Trade Confirmation Fund Code	O	O	CHARACTER	X(3)		

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
ETC-FUND-BIC	Electronic Trade Confirmation Fund BIC	O	O	CHARACTER	X(11)		
ELEC-REP-IND	Electronic Report Indicator	O	O	CHARACTER	X(1)		
SHAREHOLDER-%	% this account holder holds in the linked entity	O	O	NUMERIC	9(3)		
CONTROL-PERSON-TYP	Identifies the type of controlling person	O	O	CHARACTER	X(8)	Validate T.AHT	
CONTACT	Contract person details	O	O	CHARACTER	X(55)		
CELL	Cell Number	O	O	NUMERIC	9(10)		
DESCRIPTION	Field used to describe when cell to be used	O	O	CHARACTER	X(26		
FILLER	Filler	M	M	CHARACTER	X(164)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

6.2.12 Account Relationships - Card Code 040

This layout covers the relationship between the supplementary accounts and the main Client accounts

BDA FIELD NAME	LENGTH	VALUE	DESCRIPTION
CARD-CDE	9(3)	040	Card code 040
BRK-CDE	9(3)		Broker Numeric code
UPL-TYP	X(1)		Upload Type – N = New, U = Update
ACC-CDE	9(7)		Account code
REL-CDE	X(2)		Relationship code on ARMNT (as per T.REL)
SUPP-ACC-BRK	9(3)		Numeric Broker code of the linked account
SUPP-ACC-CDE	9(7)		Linked supplementary Account number
COPIES	9(2)		No of Copies
FREQUENCY	X(1)		Frequency at which reports etc. must be produced
MNTH	9(2)		Month of the quarter etc. at which report must be produced
DAY	9(2)		Day in the month on which report must be produced.
FILLER	X(667)		Spaces

TOTAL LENGTH	700

Field Details

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
CARD-CDE	Card Code number	M	M	NUMERIC	9(3)	040	
BRK-CDE	Broker Code	M	M	NUMERIC	9(3)	Check against BROKM	Must be an active, valid broker on BROKM
UPL-TYP	Upload Type	M	M	CHARACTER	X(1)	Upload Type – N = New, U = Update	

Field Name	Field Description	CTL (M/O/CO/S)	NCTL (M/O/CO/S)	Data Type	Data Length	Data Validation	Logic Validation
ACC-CDE	Account Code	S	S	NUMERIC	9(7)	Must a valid Account	
REL-CDE	Relationship code on ARMNT				X(2)	Validate against T.REL	
SUPP-ACC-BRK	Numeric Broker code of the account to be linked.	M	M	NUMERIC	9(3)	Must be Broker zero or the same as the broker in the BRK-CDE field	
SUPP-ACC-CDE	Account Code of the account to be linked. May be a supplementary account or a regular account or the same account	M	M	NUMERIC	9(7)	May be a supplementary account or a regular account or the same account	
COPIES	No of Copies	O	O	NUMERIC	9(2)		
FREQUENCY	Frequency at which reports etc. must be produced	O	O		X(1)	Standard BDA Frequency codes	
MNTH	Month of the quarter etc. at which report must be produced	O	O	NUMERIC	9(2)	Standard BDA Month Codes	
DAY	Day in the month on which report must be produced.	O	O	NUMERIC	9(2)	Standard BDA Day Codes	
FILLER	Filler	M	M	CHARACTER	X(667)	Spaces	

Note: O = Optional   M = Mandatory   S = System Generated   CO = Conditionally Optional

7   GLOSSARY

The following terms, abbreviations and acronyms have been used in this document:

Term, abbreviation, acronym	Definition
CTL	Controlled Accounts – settled by the member
NCTL	Non-controlled Accounts – settled by the clients own CSDP
FTP	File Transfer Protocol
SARS	South African Revenue Services
BIC	Bank Identifier Code (SWIFT)

Note: BDA File and Table names have been excluded from the Glossary

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